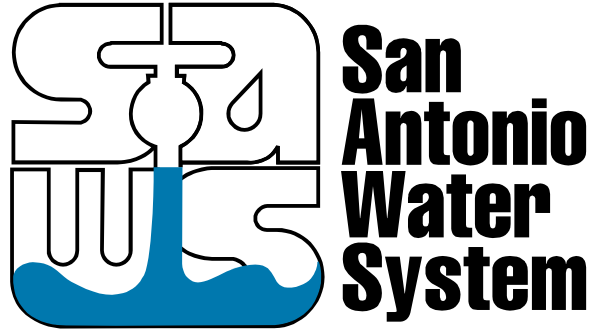


REQUEST FOR QUALIFICATIONS (RFQ) ADDENDUM



**Dos Rios Water Recycling Center (WRC) Re-Rating Ph II – Primary Settling Tank
Improvements Project
Professional Engineering Design Services RFQ
Solicitation No: Q-12-006-MF**

Addendum #1 – April 18, 2012

This Addendum includes revisions and clarifications to this RFQ.

Revisions to the RFQ

Table of Contents

The following forms are added to the Table of Contents under Exhibit “B”:
TWDB-0216, TWDB-0217 and TWDB-0373

Additional Requirements

Page 4

The following is added to the Additional Requirements section:

6. This project is TWDB funded OR is anticipated to receive TWDB funding. Respondent must follow criteria set forth in the Texas Water Development Board Clean Water State Revolving Fund Tier II or III (Wastewater) rules and requirements. For additional information, please go to the TWDB website at: <http://www.twdb.state.tx.us/financial/instructions/>.

Estimated Timeline

Page 4

The timeline as listed in the RFQ is to be deleted and replaced with the following:

April 13, 2012	RFQ Released
April 25, 2012	Non-Mandatory Pre-Submittal Conference
May 1, 2012 by 4:00 PM Central Time.....	Receipt of Written Questions Due
May 4, 2012 by 4:00 PM Central Time.....	Q & A Posted to Website

May 14, 2012 by 2:00 PM Central Time.....	Proposals Due
May 2012	Proposals Evaluated
June 2012	Interviews, if necessary
June 19, 2012	Selected Firm Notified
August 7, 2012	SAWS Board Approval and Award
August 9, 2012	Non-Selection Notices mailed
August 31, 2012	Start Work

Selection Process, A. Selection, #3

Page 4

Delete item #3 and replace with the following:

3. The Selection Committee will review the proposals forwarded for final selection and recommendation for award. The Selection Committee may select two or more firms to be interviewed, based wholly on qualifications. The successful firm may expect notification on or about June 19, 2012.

Communication, C. Technical Questions, #2 and #3

Page 6

Delete items #2 and #3 and replace with the following:

2. Questions regarding this RFQ received after 4:00 PM Central Time on May 1, 2012 will not be answered in order to allow ample time for distribution of answers and/or addendums to this RFQ.
3. Answers to the questions will be posted on the SAWS website by 4:00 PM Central Time on May 4, 2012.

Communication, E. SMWB Questions

Page 7

This section is to be deleted in its entirety, and replaced with the following:

1. This project is contingent upon release of funds from the Texas Water Development Board (TWDB) and as such the SMWBE requirements of TWDB supersede SAWS' requirements.

Any contract or contracts awarded under this Request for Qualifications (RFQ) are expected to be funded in part by a loan from the TWDB. Neither the State of Texas nor any of its departments, agencies, or employees are or will be a party to this RFQ, or any resulting contract.

This contract is subject to the Environmental Protection Agency's (EPA) "fair share policy", which includes EPA-approved "fair share goals" for Minority Business Enterprise (MBE) and Women's Business Enterprise (WBE) firms in the Construction, Supplies, Equipment, and Services procurement categories. EPA's policy requires that applicants and prime contractors make a good faith effort to award a fair share of contracts, subcontracts, and procurements to Minority Business Enterprise and Women-Owned Business Enterprise firms. Although EPA's policy does not mandate that the fair share goals be achieved, it does require applicants and prime

contractors to demonstrate use of the six affirmative steps. The current fair share goals for the State of Texas are as follows:

Category	MBE	WBE
Construction	12.94%	8.72%
Supplies	9.68%	9.34%
Equipment	7.12%	5.39%
Services	10.84%	5.72%

TWDB document TWDB-0210 *Guidance for U.S. Environmental Protection Agency Disadvantaged Business Enterprise Program* dated February 28, 2012, describes requirements of this program.

Exhibit “B” contains the required TWDB-0216, TWDB-0217 and TWDB-0373 forms in order to respond to this RFQ.

2. Respondents and/or their agents may contact the TWDB for assistance at 512-463-2634, Mr. Rene Gonzalez, DBE & Policy Coordinator, Program & Policy Development, for clarification with issues specifically related to the completion of the required TWDB forms.

Submitting a Response, A. Deadline

Page 7

Delete and replace with the following:

Proposals are due no later than 2:00 PM Central Time on May 14, 2012.

Submittal Response Checklist

Page 14

Delete and replace with the attached new Submittal Response Checklist form to be used with the submission of the proposals.

Exhibit “B”

Page 24

In addition to the Good Faith Effort Plan, attached are additional forms TWDB-0216, TWDB-0217 and TWDB-0373 to be submitted in the response package.

Clarification to the RFQ

Per Paragraph IV.B.6, “Required forms do not count toward the page limit.” This includes the Submittal Response Checklist, Respondent Questionnaire, and all required Exhibits. Cover letters and letters of transmittal **do** count toward the page limit.

End of Addendum

SUBMITTAL RESPONSE CHECKLIST

Project Name: Dos Rios Water Recycling Center (WRC) Re-rating Phase II – Primary Settling Tank Improvements

Use the checklist to ensure that the proposal is complete by checking off each item included with your response. Sign and date this form and include this page with each proposal.

- Respondent Questionnaire
- Completed and signed W-9 Form
- Project Approach
- Project Team and Resumes including Organizational Chart
- Team Experience
- Exhibit “A” – Copy of Current Certificate of Liability Insurance and Respondent’s commitment letter to provide the lines of insurance coverage required.
- Exhibit “B” – Good Faith Effort Plan, forms TWDB-0216, TWDB-0217 and TWDB-0373
- Exhibit “C” – Conflict of Interest Questionnaire

I certify that the proposal submitted includes the items as indicated above.

Signature

Date

Printed Name

Title

TWDB-0217

**TEXAS WATER DEVELOPMENT BOARD (TWDB)
AFFIRMATIVE STEPS CERTIFICATION and GOALS**

I. PROJECT INFORMATION

A. TWDB Project No.	B. Applicant/Entity Name	C. Total TWDB Funding Request	D. Program Type (insert "X" for all that apply)	
			<input type="checkbox"/>	Drinking Water SRF DWSRF)
			<input type="checkbox"/>	Clean Water SRF (CWSRF)

Prime Contractor: _____

Contract Number: _____ Contract Amount: _____

II. GOOD FAITH EFFORT (Applicable to all sub-agreements awarded by the prime contractor)

I understand that it is my responsibility to comply with all state and federal regulations and guidance in the utilization of Minority and Women-Owned Businesses in procurement. I certify that I will make a "good faith effort" to afford opportunities for Minority Business Enterprise (MBE), and Women-Owned Business Enterprise (WBE) by:		
1	Including qualified MBEs and WBEs on procurement solicitation lists	
2	Soliciting potential MBE's and WBE's	
3	Reducing contract size/quantities when economically feasible to permit maximum participation by MBE's and WBE's	
4	Establishing delivery schedules to encourage participation by MBE's and WBE's	
5	Using the services and assistance of the Small Business Administration, Minority Business Development Agency, U.S. Department of Commerce, and Texas Marketplace	
6	Submitting documentation to the Texas Water Development Board to verify good faith effort, steps 1-5.	
<input type="checkbox"/>	EXCEPTION: As the Prime Contractor, I certify that I have reviewed the contract requirements and found no available subcontracting opportunities. I also certify that I will fulfill 100 percent of the contract requirements with my own employees & resources. (Check if applicable)	
	Signature – Consultant/Prime Contractor	Title
		Certification Date

III. PROJECT PARTICIPATION ESTIMATES

Total Procurement		Potential MBE Participation		Potential WBE Participation	
Cost Category	Total	Goal	Extension	Goal	Extension
Construction	\$	12.94%	\$	8.72%	\$
Equipment	\$	7.12%	\$	5.39%	\$
Supplies	\$	9.68%	\$	9.34%	\$
Services	\$	10.84%	\$	5.72%	\$
Total Procurement (must equal contract amount)	\$		\$		\$

The fair share goals listed above are required by 40 CFR Part 33 Subpart D and are directly negotiated with EPA Region 6. Entities receiving federal financial assistance are subject to the TWDB's goals and may not be substituted with other agency or program goals.

IV. TWDB APPROVAL SIGNATURE

Form Meets DBE Requirements	Yes <input type="checkbox"/>	No <input type="checkbox"/>
DBE Coordinator	Approval Date	

TWDB-0216

**TEXAS WATER DEVELOPMENT BOARD
AFFIRMATIVE STEPS SOLICITATION REPORT**

I. PROJECT INFORMATION

A. TWDB Project No.	B. Applicant/Entity Name	C. Total TWDB Funding Request	D. Program Type (insert "X" for all that apply)	
			<input type="checkbox"/>	Drinking Water SRF (DWSRF)
			<input type="checkbox"/>	Clean Water SRF (CWSRF)

Project Name: _____

Solicitation By: Applicant/Entity Prime Contractor: _____

Project Phase: Application Planning/Design Construction Contract # _____

II. PROJECT BIDDERS LIST:

Instructions Columns 1 - 4	List on this form, or provide on a separate list, each business entity solicited for procurement, the following: 1-Full business name & point of contact, 2-business address, 3-telephone number and 4-email for each business or firm. Entities must solicit to a minimum of 3 business/firms for each type of contract sought (i.e. three engineering, financial advisor, and bond counsel firms) to demonstrate a Good Faith Effort .
Instructions Column 5	Enter one of the following procurement or contract categories: CONSTRUCTION – SUPPLIES – EQUIPMENT – SERVICES
Instructions 6	Enter the type of business: Minority Business Enterprise (MBE), Women-Owned Business Enterprise (WBE), or OTHER (NOTE! "OTHER" = Company or firm is Non-MBE or WBE)
Instructions Column 7	To achieve a "Good Faith Effort" a minimum of two methods must be utilized for solicitation, however, additional methods are encouraged by the TWDB should any of the attempted methods fail to meet DBE program requirements. Adequate backup documentation must be attached to this form for each method used. Methods of solicitation include: <ol style="list-style-type: none"> 1. Newspaper Advertisements 2. Direct Contact by Phone, Fax, USPS Mail, E-mail 3. Meetings or Conferences 4. Minority Media 5. Internet & Web Postings 6. Trade Association Publications 7. Other Government Publications

Notice: Entities receiving federal SRF loans must create and maintain a bidder's list if the recipient of the loan is subject to competitive bidding requirements. The list must include all firms that bid or quote on subcontracts under EPA assisted projects, including both MBE/WBEs and non-MBE/WBEs. Entities must keep the bidders list until the project is complete, the project period has expired, and the recipient is no longer receiving EPA funding under the loan.

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
	Business Name & Point of Contact	Business Address	Telephone Number	E-Mail Address	Procurement Category	MBE/WBE Status	Solicitation Methods
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							

Use additional sheets if necessary

Signature - Applicant/Entity or Prime Contractor	Title	Certification Date

III. TWDB APPROVAL SIGNATURE

Form meets DBE Requirements	Yes <input type="checkbox"/>	No <input type="checkbox"/>
DBE Coordinator	Approval Date	

TWDB-0373

**TEXAS WATER DEVELOPMENT BOARD
LOAN/PRINCIPAL FORGIVENESS PARTICIPATION SUMMARY**

I. PROJECT INFORMATION

A. TWDB Project No.	B. Applicant/Entity Name	C. Total TWDB Funding \$ _____	D. Program Type (insert "X" for all that apply)	
			<input type="checkbox"/>	Drinking Water SRF (DWSRF)
			<input type="checkbox"/>	Clean Water SRF (CWSRF)

Project Name: _____

Solicitation By: Applicant/Entity Prime Contractor: _____

Project Phase: Loan Commitment/Closing Planning/Design Construction Contract # _____

II. LIST OF ACTUAL CONTRACTS/PROCUREMENTS

Instructions Column 1	Enter the full name, street address, city/state/zip for each firm awarded a contract for the project					
Instructions Column 2	Enter one of the following procurement or contract categories: CONSTRUCTION – SUPPLIES – EQUIPMENT – SERVICES					
Instructions Column 3	Enter the type of business: Minority Business Enterprise (MBE), Women-Owned Business Enterprise (WBE), or OTHER (NOTE: "OTHER" = Company or firm is Non-MBE or WBE)					
Instructions Column 4	Enter the exact amount of the awarded contract					
Instructions Column 5	Enter the exact date the contract was or will be executed					
Instructions Column 6	Indicate if valid MBE/WBE Certification is attached					
	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
	Name & Address of Contracted Firm/Vendor	Procurement Category	MBE/WBE Status	Actual Contract Awarded (\$)	Contract Execution Date	MBE/WBE Certification Included? (Y/N) (if applicable)
1						<input type="checkbox"/> Yes <input type="checkbox"/> No
2						<input type="checkbox"/> Yes <input type="checkbox"/> No

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
	Name & Address of Contracted Firm/Vendor	Procurement Category	MBE/WBE Status	Actual Contract Awarded (\$)	Contract Execution Date	MBE/WBE Certification Included? (Y/N) (if applicable)
3						<input type="checkbox"/> Yes <input type="checkbox"/> No
4						<input type="checkbox"/> Yes <input type="checkbox"/> No
5						<input type="checkbox"/> Yes <input type="checkbox"/> No
6						<input type="checkbox"/> Yes <input type="checkbox"/> No
7						<input type="checkbox"/> Yes <input type="checkbox"/> No
8						<input type="checkbox"/> Yes <input type="checkbox"/> No
9						<input type="checkbox"/> Yes <input type="checkbox"/> No
Signature - Applicant/Entity Representative			Title		Certification Date	

III. TWDB APPROVAL SIGNATURE

Form meets DBE Requirements	Yes <input type="checkbox"/> No <input type="checkbox"/>
DBE Coordinator	Approval Date